

# STATEMENT OF FINANCIAL INTEREST

State/District officials file with:  
Charlie Daniels, Secretary of State  
State Capitol, Room 026  
Little Rock, AR 72201  
Phone (501) 682-5070  
Fax (501) 682-3408

Calendar year covered \_\_\_\_\_  
(Note: Filing covers the previous calendar year)

Is this an amendment?  Yes  No

For assistance in completing  
this form contact:  
Arkansas Ethics Commission  
Post Office Box 1917  
Little Rock, AR 72203  
Phone (501) 324-9600  
Toll Free (800) 422-7773

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document.

## SECTION 1- NAME AND ADDRESS

Name \_\_\_\_\_  
(Last) (First) (Middle)  
Address \_\_\_\_\_  
(Street or P.O. Box Number) (City) (State) (Zip Code)  
Phone \_\_\_\_\_

Spouse's name \_\_\_\_\_  
(Last) (First) (Middle)

All names under which you and/or your spouse do business:

\_\_\_\_\_  
\_\_\_\_\_

## SECTION 2- REASON FOR FILING

- Public Official \_\_\_\_\_  
(office held)
- Candidate \_\_\_\_\_  
(office sought)
- District Judge \_\_\_\_\_  
(name of municipality)
- City Attorney \_\_\_\_\_  
(name of city)
- State Government: Agency Head/Department Director/Division Director \_\_\_\_\_  
(name of agency/department/division)
- Public appointee to State Board or Commission \_\_\_\_\_  
(name of board/commission)
- School Board member \_\_\_\_\_  
(name of school district)
- Candidate for school board \_\_\_\_\_  
(name of school district)
- Public or Charter School Superintendent \_\_\_\_\_  
(name of school district/school)
- Director of Educational Cooperative \_\_\_\_\_  
(name of cooperative)
- Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission):
- Planning board or commission \_\_\_\_\_
- Airport board or commission \_\_\_\_\_
- Water or Sewer board or commission \_\_\_\_\_
- Utility board or commission \_\_\_\_\_
- Civil Service commission \_\_\_\_\_

The law provides for a maximum penalty of \$1,000 per violation and/or imprisonment for not more than one year for any person who knowingly or willfully fails to comply with the provisions of A.C.A. § 21-8-401 through § 21-8-804. This report constitutes a public record. This form has been approved by the Arkansas Ethics Commission.



**SECTION 4- BUSINESS OR HOLDINGS**

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box:  More than \$1,000  More than \$12,500

\_\_\_\_\_  
(name of corporation, firm or enterprise)

\_\_\_\_\_  
(address)

\_\_\_\_\_  
(name under which investment held)

b) Check appropriate box:  More than \$1,000  More than \$12,500

\_\_\_\_\_  
(name of corporation, firm or enterprise)

\_\_\_\_\_  
(address)

\_\_\_\_\_  
(name under which investment held)

c) Check appropriate box:  More than \$1,000  More than \$12,500

\_\_\_\_\_  
(name of corporation, firm or enterprise)

\_\_\_\_\_  
(address)

\_\_\_\_\_  
(name under which investment held)

d) Check appropriate box:  More than \$1,000  More than \$12,500

\_\_\_\_\_  
(name of corporation, firm or enterprise)

\_\_\_\_\_  
(address)

\_\_\_\_\_  
(name under which investment held)

e) Check appropriate box:  More than \$1,000  More than \$12,500

\_\_\_\_\_  
(name of corporation, firm or enterprise)

\_\_\_\_\_  
(address)

\_\_\_\_\_  
(name under which investment held)

f) Check appropriate box:  More than \$1,000  More than \$12,500

\_\_\_\_\_  
(name of corporation, firm or enterprise)

\_\_\_\_\_  
(address)

\_\_\_\_\_  
(name under which investment held)

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**SECTION 8- GIFTS**

List the source, date, description, and a reasonable estimate of the fair market value of each gift of more than \$100 received by you or your spouse and of each gift of more than \$250 received by your dependent children. The term “gift” is defined as “any payment, entertainment, advance, services, or anything of value unless consideration of equal or greater value has been given therefor.” There are a number of exceptions to the definition of “gift.” Those exceptions are set forth in the Instructions for Statement of Financial Interest prepared for use with this form. (Note: The value of an item shall be considered to be less than \$100 if the public servant reimburses the person from whom the item was received any amount over \$100 and the reimbursement occurs within ten (10) days from the date the item was received.)

a)	_____	_____
	(description of gift)	
	_____	_____
	(date)	(fair market value)
	_____	_____
	(source of gift)	
b)	_____	_____
	(description of gift)	
	_____	_____
	(date)	(fair market value)
	_____	_____
	(source of gift)	
c)	_____	_____
	(description of gift)	
	_____	_____
	(date)	(fair market value)
	_____	_____
	(source of gift)	
d)	_____	_____
	(description of gift)	
	_____	_____
	(date)	(fair market value)
	_____	_____
	(source of gift)	
e)	_____	_____
	(description of gift)	
	_____	_____
	(date)	(fair market value)
	_____	_____
	(source of gift)	
f)	_____	_____
	(description of gift)	
	_____	_____
	(date)	(fair market value)
	_____	_____
	(source of gift)	
g)	_____	_____
	(description of gift)	
	_____	_____
	(date)	(fair market value)
	_____	_____
	(source of gift)	

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**SECTION 13- SIGNATURE**

I certify under penalty of false swearing that the above information is true and correct.

\_\_\_\_\_  
Signature

STATE OF ARKANSAS

} ss

COUNTY OF \_\_\_\_\_

Subscribed and sworn before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

(Legible Notary Seal)

\_\_\_\_\_  
Notary Public

My commission expires: \_\_\_\_\_

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

**IMPORTANT**

**Where to file:**

State or district candidates and public servants file with the Secretary of State.  
County, township, and school district candidates and public servants file with the county clerk.  
Municipal candidates and public servants file with the city clerk or recorder, as the case may be.  
Municipal judges and city attorneys file with the city clerk of the municipality in which they serve.  
Members of regional boards or commissions file with the county clerk of the county in which they reside.

**General Information:**

- \* The Statement of Financial Interest should be filed by January 31 of each year.
- \* The filing covers the previous calendar year.
- \* Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year within thirty (30) days after the deadline for filing for office unless already filed by January 31.
- \* Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- \* Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- \* If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.

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